20-Jan-05 04:34pm From-LAHIVE & COCKFIELD, LLP

6177424214 T-207 P.01/08 F-329

PTO IDENTIFIER: Application Number 09/757142-Conf. #6617 Patent Number Inventor: Wilhelm AMBERG et al. MESSAGE TO: US Patent and Trademark Office Attn: Examiner Celsa, Bennett M. FAX NUMBER: (703) 505-3230 FROM: LAHIVE & COCKFIELD, LLP DeAnn F. Smith PHONE: (617) 227-7400 Attorney Dkt. #: BBI-6026CPCN PAGES (Including Cover Sheet): 8
Patent Number Inventor: Wilhelm AMBERG et al. MESSAGE TO: US Patent and Trademark Office Attn: Examiner Celsa, Bennett M. FAX NUMBER: (703) 305-3230 FROM: LAHIVE & COCKFIELD, LLP DeAnn F. Smith PHONE: (617) 227-7400 Attorney Dkt. #: BBI-6026CPCN
Inventor: Wilhelm AMBERG et al. MESSAGE TO: US Patent and Trademark Office Attn: Examiner Celsa, Bennett M. FAX NUMBER: (703) 305-3250 FROM: LAHIVE & COCKFIELD, LLP DeAnn F. Smith PHONE: (617) 227-7400 Attorney Dkt. #: BBI-6026CPCN
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Attorney Dkt. #: BBI-6026CPCN
PAGES (including Cover Sheet):8
CONTENTS: Copy of Request for Corrected Filing Receipt and related documents onginally filed 9/13/02 (5 pages)
Transmission of Previously Filed Request for Corrected Filing Receipt (1 page)
Certificate of Transmission (1 page)
If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (617) 227-7400 and send the original transmission to us by return mail at the address below.
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LAHIVE & COCKFIELD, LLP 28 State Street, Boston, Massachusetts 02109 Telephone: (617) 227-7400 Facsimile: (617) 742-4214

I nereby certify that this correspondence is being tacsimile transmitted to the Patent and Trademark Office, facsimile to (7\text{ta}) 305-3230 at Commissioner for Patents, P O Box 1450. Alexandra, VA 22313-1400, on the date shown below Dated January 20, 2006. Signature (DeAnn F. Smith)

Docket No.: BB1-6026CPCN (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Wilhelm Amberg et al.

Confirmation No.: 6617

Application No.: 09/757142

Art Unit: 1639

Filed: January 9, 2001

Examiner: Celsa, Bennett M.

For: Antineoplastic Peptides

TRANSMISSION OF PREVIOUSLY FILED REQUEST TO CORRECT FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

As discussed in your January 19, 2005 telephone conference with Brian Trinque regarding the missing priority information in the above-identified application, attached please find a copy of the Request for Corrected Filing Receipt and related documents originally filed with the U.S. Patent and Trademark Office on September 13, 2002. Additionally, we have included a copy of the return receipt postcard date-stamped by the OIPE. We will contact you prior to the February 3, 2005 issue fee deadline to confirm that the priority information has been appropriately corrected. If you have any questions regarding this matter, please do not hesitate to contact us at (617) 227-7400.

Applicant believes no fee is due with this statement. However, if a fee is due, please charge our Deposit Account No. 12-0080, under Order No. BBI-6026CPCN from which the undersigned is authorized to draw.

Dated: January 20, 2005

Respectfully submitted.

DeAnn F. Smith

Registration No.: 36,683

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Attorney/Agent For Applicant

T-207 P.03/08 F-329

PTC/SB/87 (09-04)
Approved for use through 07/31/2006 OMB 0651-0031
U. S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE
Under the Paperwork Redulation Act of 1995, do persons are required to respond to a collection of information unless it displays a valid OMB capitol in impair Application No. (if known): 09/757142 Attorney Docket No.: BBI-6026CPCN Certificate of Transmission under 37 CFR 1.8 I nereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office. January 20, 2005 Date Signature DeAnn F. Smith Typed or printed name of person signing Certificate 36,683 <u>(617) 227</u>-7400 Registration Number, if applicable Telephone Number Each paper must have its own certificate of transmission, or this certificate must Note: identify each submitted paper. Copy of Request for Corrected Filing Receipt and related documents originally filed 9/13/02 (5 pages) Transmission of Previously Filed Request for Corrected Filing Receipt (1 Certificate of Transmission (1 page)



Copy of an executed Declaration for Patent Application (10 pages); Copy of Filing Receipt with correction Filing Receipt (2 pages, in diplicate); Copy of first page of above-referenced patent application (1 page); Prior Powers of Attorney and Appointment of New Power of Attorney (3 pages); Request for Correction of Description of Paper" and No.: Transmital Letter (1 page) (indeplicat); Original executed Revocation of

THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:

Office No.

BBI-6026CPCN

noted thereon in red ink (I page); and this return postcard.

Title: ANTINEOPLASTIC PEPTIDES

Name of Applicant(s): Wilhelm Amberg, et al.

Intf. or Serial No.: 09/757,142

Atty: Peter C. Lauro, Esq.

Date: September 13, 2002

*with Certificate of First Class Mailing



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Wilhelm Amberg, et al.

Serial No.: 09/757,142

Filed: January 9, 2001

For: ANTINEOPLASTIC PEPTIDES

Attorney Docket No.: BBI-6026CPCN

Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Group Art Unit: 1627

Examiner: Celsa, Bennett M.

Certificate of First Class Mailing (37 C.F.R. \$1.8(a)) I hereby cerufy that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Bramination, Customer Service Center, Washington,

September 13, 2002

Date of Signature and of Mail Deposit

By:

Peter C. Lauro, Esq.

Reg. No. 32,360

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application omits certain Domestic Priority information. Applicants respectfully request that the Filing Receipt be corrected by inserting the following language to the Domestic Priority data as claimed by applicant:

-- WHICH CLAIMS THE BENEFIT OF 08/573,422 12/15/95 ABNafter the existing language:

"WHICH IS A CIP OF PCT/EP96/05518 12/11/1996"

In support of the above-request correction, Applicants enclose the first page of the above-reference application as filed, where lines 3-9 set forth the correct priority data, as

Serial No.: 09/757,142



2

Oroup Art Unit: 1627

well as a copy of an executed Declaration, Petition and Power of Attorney filed that was filed on even date with the application, and that sets forth the correct priority claim. Applicants also enclose a copy of the Filing Receipt with the change noted thereon in red ink. Applicants note that application no. 08/573,422, filed 12/15/95, was converted to a provisional application.

Please charge any fees necessary for consideration and entry of this communication to our Deposit Account No. 12-0080. A duplicate of this communication is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Peter C. Lauro, Esq. Registration No. 32,360 Attorney for Applicants

Dated: September 13, 2002

Page 1 of 2







UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, O.C. 80231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.HO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/757,142	01/09/2001	1627	1110	BBI- 6026CPCN	0	9	8

Guillo A. DeConti, Jr., Esq. Lahive & Cockfield, L. L.P 28 State Street Boston, MA 02109

Date Mailed: 04/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

Wilhelm Amberg, Friedrichsdorf, GERMANY: Teresa Barlozzari, Wellesley, MA; Harald Bemard, Bad Durkhelm, GERMANY: Emst Buschmann, Ludwigshafen, GERMANY; Andreas Haupt, Northborough, MA; Hans-Guenther Hege, Neustadt, GERMANY; Bemd Janssen, Mariborough, MA; Andreas Kling, Mannhelm, GERMANY; Helmut Lietz, Neustadt, GERMANY; Kurt Ritter, Newton, MA; Martina Ultrich, Schriesheim, GERMANY; Jurgen Weymann, Bad Durkheim, GERMANY; Thomas Zierke, Bohl-Iggelhelm, GERMANY;

Assignment For Published Patent Application

BASF Aktiengesellschaft Germany:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/097,184 06/12/1998 ABN WHICH IS A CIP OF PCT/EP96/05518 12/11/1996

-- WHICH CURIMS THE BENEFIT OF 09/573,422 12/15/95 ABN--

Leanthu whhitestious

If Required, Foreign Filing License Granted 03/27/2001

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APR 2:3 2002
RETRIEVED: 4/30 JS.B

December 28, 2000

PATENT APPLICATION Docket No.: 2079:1009-001



-1.

Date: 1-9-01 Express Mail Label No. <u>EL552288263US</u>
Express Mail Label No. EL552288263119

Inventors:

Wilhelm Amberg, Teresa Barlozzari, Harald Bernard, Ernst Buschmann, Andreas Haupt, Hans-Guenther Hege, Bernd Janssen, Andreas Kling, Helmut Lietz, Kurt Ritter, Martina Ullrich, Jürgen Weymann and Thomas Zierke

Attorney's Docket No.:

2079.1009-001

ANTINEOPLASTIC PEPTIDES

RELATED APPLICATIONS

This application is a continuation of Application No. 09/097,184, filed June 12, 1998, which is a continuation-in-part of International Application No.

- PCT/EP96/05518, filed December 11, 1996, which designated the United States, published in English, which claims priority to U.S. Patent Application No. 08/573,422, filed December 15, 1995, now abandoned. This application also claims benefit of U.S. Provisional Application entitled "Antineoplastic Peptides", which resulted from the conversion of U.S. Serial No. 08/573,422.
- The entire teachings of the above application(s) are incorporated herein by reference.

FIELD OF THE INVENTION

The invention described herein provides novel peptides and derivatives thereof which offer potentially improved therapeutic utilities for the treatment of neoplastic diseases as compared to dolastatin -10 and -15 (U.S. Parent Nos. 4,879,276 and 4,816,444) and the compounds described in WO 93/23424.